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**FAX TRANSMISSION****DATE:** August 22, 2005**PTO IDENTIFIER:** Application Number 10/789,627  
Patent Number**Inventor:** Howard Kaufman, et al.**MESSAGE TO:** US Patent and Trademark Office**FAX NUMBER:** (571) 273-8300**FROM:** WILMER CUTLER PICKERING HALE AND DORR LLP

Jane M. Love

**PHONE:** (212) 230-8800**Attorney Dkt. #:** 19240.461**PAGES (Including Cover Sheet):** X 5**CONTENTS:** Revocation of Previous Power of Attorney, Appointment of New Attorney, Change of Correspondence Address and Statement Under 37 C.F.R. §3.73(b) w/certificate of facsimile transmission - (2 sheets)*copy of original Assignment. 2 sheets*  
*(KP)*

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**WILMER CUTLER PICKERING HALE AND DORR LLP**  
399 Park Avenue, New York, New York 10022  
Telephone: (212) 230-8800 Facsimile: (212) 230-8888

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SCIENCE & TECH VENTURES RECEIVED  
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2128548463

F.02

Applicants: Kaufman et al.  
U.S. Serial No.: 10/789,627  
Filed: February 26, 2004  
Page 1 of 2

AUG 22 2005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Howard Kaufman et al.

Serial No.: 10/789,627

Examiner: Tara Washington

Filed: February 26, 2004

Entitled: Composition for Delivering an Agent to a  
Target Cell and Uses Thereof

Group Art Unit: 1641

Attorney Docket No.: 19240.461

**CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 CFR 51.2**

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on 8/22/2005 for consideration in U.S. Patent Application No. 10/799,941 and that this document is a true copy.

Date: 8/22/2005Name: K Padella

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REVOCATION OF PREVIOUS POWER OF ATTORNEY,  
APPOINTMENT OF NEW ATTORNEY,  
CHANGE OF CORRESPONDENCE ADDRESS, and  
STATEMENT UNDER 37 C.F.R. §3.73(b)**

The undersigned, The Trustees of Columbia University in the City of New York, owner of the above-identified United States patent application, hereby revokes all powers of attorney previously granted and appoints the following practitioners with full power of substitution and revocation to prosecute the patent application and to transact all business in the Patent and Trademark Office connected therewith.

CUSTOMER NUMBER: 28889

US11003 02/02/01

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SCIENCE &amp; TECH VENTURES

2128548463

P. 03

Applicants: Kaufman et al.  
U.S. Serial No.: 10/789,627  
Filed: February 26, 2004  
Page 2 of 2

All future correspondence should be sent to:

Jane M. Love, Ph.D.  
Wilmer Cutler Pickering Hale and Dorr LLP  
399 Park Avenue  
New York, New York 10022  
Telephone No.: (212) 937-7233 (direct)  
Facsimile No.: (212) 230-8888

jane.love@wilmerhale.com

Pursuant to 37 C.F.R. §3.73(b), Applicant states that it is the assignee of the entire right, title, and interest by virtue of an assignment from the inventors (Howard Kaufman and Michal Bereta) of the application identified above and recorded in the United States Patent and Trademark Office.

The assignment was recorded in the United States Patent and Trademark Office on September 20, 2004 at Reel 015812, Frame 0686. A copy of the assignment document is attached.

The undersigned, whose title appears below, is authorized to act on behalf of the assignee.

The Trustees Of Columbia University In The City Of New York

By:

  
Michael J. Cleary, Ph.D.  
Executive Director  
Columbia University Science and Technology Ventures

Date:

August 22nd 2005

USDOC 5/3/2005

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## ASSIGNMENT

Assignment before issue of Letters Patent

Docket No. 5199-49

WHEREAS, We, **Howard Kaufman**, a resident of New York, County of New York, State of New York; and **Michal Bereta**, a resident of Krakow, Poland;

(hereinafter "Assignor") have invented certain new and useful improvements in

## COMPOSITION FOR DELIVERING AN AGENT TO A TARGET CELL AND USES THEREOF

(hereinafter "invention") for which Assignor is making or has made application for LETTERS PATENT OF

THE UNITED STATES, which application has been duly executed by Assignor on

the day(s) and date(s) of these presents.

AND WHEREAS, THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK, having its principal offices at: Office of the General Counsel, 412 Low Library, Mail Code 4308, 535 West 116<sup>th</sup> Street, New York, NY 10027, U.S.A., hereinafter called the Assignee, is desirous of acquiring the entire right, title and interest in and to said invention within the United States of America and its territorial possessions and all foreign countries and any United States or foreign LETTERS PATENT that may be granted therefor.

NOW, THIS INDENTURE WITNESSETH, that for good and valuable considerations, the receipt whereof is hereby acknowledged, Assignor has assigned, sold and transferred, and does hereby assign, sell and transfer to the said Assignee the entire right, title and interest in and to the said invention, within the United States of America and its territorial possessions and all foreign countries and in and to any LETTERS PATENT of the United States and foreign countries, including utility models, inventor's certificates and like government grants, and all divisions, reissues, continuations and extensions thereof that may be granted therefor, and the right to apply for LETTERS PATENT in foreign countries with full benefit of such priorities as may now or hereafter be granted to Assignor by local laws or by treaty, including any international convention, for the protection of industrial property, together with the right to extend the protection of said U.S. LETTERS PATENT to the various territorial possessions now owned or which may be hereafter acquired by the United States of America, all said rights to be held and enjoyed by the Assignee for its own use and benefit, and for the use and benefit of its successors or assigns, to the full end of the term for which said LETTERS PATENT may be granted, as fully and entirely as the same would have been held and enjoyed by Assignor if this assignment and sale had not been made. And Assignor does hereby request and authorize the Commissioner of Patents and Trademarks, U.S.A., to issue said U.S. LETTERS PATENT, when granted, in accordance with this assignment.

Assignor further covenants and agrees with the Assignee that Assignor has a full and unencumbered title to the invention hereby assigned, which title Assignor warrants unto the Assignee, and Assignor further agrees that Assignor will, without demanding any further consideration therefor, at the request but at the expense of the Assignee, do all lawful and just acts, including the execution and acknowledgment of instruments, that may be or become necessary for obtaining, sustaining, extending, reissuing or reexamining United States and foreign LETTERS PATENT or the like for the said invention, and for maintaining and perfecting the Assignee's right to said invention and LETTERS PATENT particularly in cases of interference, conflict, opposition and litigation.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 13 day of Sept, 2004

Howard Kaufman  
Howard Kaufman

STATE OF

NEW YORK

COUNTY OF

BROOK

) ss.:

On this 13 day of SEPTEMBER 2004 before me came

Howard Kaufman, to me known and known to me to be the individual described in, and who executed, the foregoing instrument, and he/she has acknowledged to me that he/she has executed the same.

(SEAL)

Linda Asan  
Linda Asan

Notary Public

My commission expires:

4-6-07

LINDA ASAN  
NOTARY PUBLIC, STATE OF NEW YORK  
NO. 31-4882808  
QUALIFIED IN NEW YORK COUNTY  
COMMISSION EXPIRES 4-6-07

BEST AVAILABLE COPY

# ASSIGNMENT

Assignment before issue of Letters Patent

Docket No. 5199-49

WHEREAS, We, **Howard Kaufman**, a resident of New York, County of New York, State of New York; and **Michal Bereta**, a resident of Krakow, Poland;

(hereinafter "Assignor") have invented certain new and useful improvements in

## COMPOSITION FOR DELIVERING AN AGENT TO A TARGET CELL AND USES THEREOF

(hereinafter "invention") for which Assignor is making or has made application for LETTERS PATENT OF

THE UNITED STATES, which application has been duly executed by Assignor on

the day(s) and date(s) of these presents.

AND WHEREAS, **THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK**, having its principal offices at: Office of the General Counsel, 412 Low Library, Mail Code 4308, 535 West 116<sup>th</sup> Street, New York, NY 10027, U.S.A., hereinafter called the Assignee, is desirous of acquiring the entire right, title and interest in and to said invention within the United States of America and its territorial possessions and all foreign countries and any United States or foreign LETTERS PATENT that may be granted therefor.

NOW, THIS INDENTURE WITNESSETH, that for good and valuable considerations, the receipt whereof is hereby acknowledged, Assignor has assigned, sold and transferred, and does hereby assign, sell and transfer to the said Assignee the entire right, title and interest in and to the said invention, within the United States of America and its territorial possessions and all foreign countries and in and to any LETTERS PATENT of the United States and foreign countries, including utility models, inventor's certificates and like government grants, and all divisions, reissues, continuations and extensions thereof that may be granted therefor, and the right to apply for LETTERS PATENT in foreign countries with full benefit of such priorities as may now or hereafter be granted to Assignor by local laws or by treaty, including any international convention, for the protection of industrial property, together with the right to extend the protection of said U.S. LETTERS PATENT to the various territorial possessions now owned or which may be hereafter acquired by the United States of America, all said rights to be held and enjoyed by the Assignee for its own use and benefit, and for the use and benefit of its successors or assigns, to the full end of the term for which said LETTERS PATENT may be granted, as fully and entirely as the same would have been held and enjoyed by Assignor if this assignment and sale had not been made. And Assignor does hereby request and authorize the Commissioner of Patents and Trademarks, U.S.A., to issue said U.S. LETTERS PATENT, when granted, in accordance with this assignment.

Assignor further covenants and agrees with the Assignee that Assignor has a full and unencumbered title to the invention hereby assigned, which title Assignor warrants unto the Assignee, and Assignor further agrees that Assignor will, without demanding any further consideration therefor, at the request but at the expense of the Assignee, do all lawful and just acts, including the execution and acknowledgment of instruments, that may be or become necessary for obtaining, sustaining, extending, reissuing or reexamining United States and foreign LETTERS PATENT or the like for the said invention, and for maintaining and perfecting the Assignee's right to said invention and LETTERS PATENT particularly in cases of interference, conflict, opposition and litigation.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 13 day of Sept, 2004

Michal Bereta

STATE OF

New York

COUNTY OF

BRONX

) SS.:

On this 13 day of SEPTEMBER, 2004 before me came

Michal Bereta, to me known and known to me to be the individual described in, and who executed, the foregoing instrument, and he/she has acknowledged to me that he/she has executed the same.

[SEAL]

Linda Asan

Notary Public

My commission expires:

4-6-07

LINDA ASAN  
NOTARY PUBLIC, STATE OF NEW YORK  
NO. 31-4662803  
QUALIFIED IN NEW YORK COUNTY  
COMMISSION EXPIRES 4-6-07